

FEISV and SVDX Event February 18, 2014**Event Vetting**

Government employees, particularly high-level officials like United States Attorneys, are subject to ethical restrictions governing the types of events they may attend. Some restrictions relate to benefits, including luncheons, dinners and tokens of appreciation, they may accept. Other restrictions relate, among other things, to participation in events that are sponsored by persons who do business with or lobby the Government; that have a fundraising component; where it may appear that official attendance is being used to promote the event or the sponsor; or where elected officials may be in attendance. To assist us in vetting compliance with government ethical restrictions, we would appreciate it if you could provide the following information. If you do not have specific information for each response, please provide such general or descriptive information as you currently have. Please do not hesitate to call the Office's Government Ethics Advisor, (b)(6), (b)(7)c at (b)(6), (b)(7)c or e-mail him at (b)(6), (b)(7)c o discuss this.

- Name, date and time, and location of event.

- Name: *Financial Executives International and Silicon Valley Directors Exchange – Annual Dinner Event*
- Date: *February 18th, 2014*
- Time: *6pm to 8:30pm*
- Location: *Palo Alto Hills Golf & Country Club, 3000 Alexis Drive, Palo Alto 94304*

- Format of event (*e.g.*, cocktails; presentations; speeches; and/or meal).

5:30pm Networking
 6:25pm Dinner is started with remarks from the President
 6:45pm Announcements
 7:15pm Introduction of speaker
 8:00pm Speaker
 8:05-8:15pm Q&A period
 8:30pm Meeting ends
 All times are approximations

- Estimated cost per attendee of event is \$100 covering food, beverage, and venue expenses.*

- Are any attendees being charged to attend the event? If so, who (in general) is being offered free attendance, and who is expected to pay?
 - Silicon Valley Chapter Members are free (included in their membership fee)

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- Guests are charged \$100
 - SVDX guests are charged \$85 and SVDX provides a subsidy of \$15, totaling the \$100 cost of the meal / venue.
 - Guests invited by chapter members only who qualify and are considering membership. These guests may attend without cost once.
- Name of entity extending the invitation and link to web site (if any).
- *FEI Silicon Valley and SVDX* (www.feisv.org)
- Does the entity extending the invitation engage in lobbying?
- No*
- Sponsor of event if different from above – please see below.
- None*
- Does the sponsor of the event engage in lobbying? *No*
- Names of additional entities that will be recognized for sponsorship or support of the event.
- None*
- How many persons are expected to attend?
- There will be approximately 200 attendees, with 100 from the FEISV organization and 100 from SVDX.*
- Demographics of expected attendees (*e.g.*, lawyers; law enforcement; business leaders; students; etc.)
- The FEISV group is mainly composed of highly level financial professionals with SVDX bringing Board level operators, attorneys, CFO's and technologists. Some examples follow: Banking/Financial Services; Insurance; Planning & Development; Professional Services; Real Estate; Tax Administration; Technology; Treasury & Finance; Venture Capital; Software; High-Tech or Software; Healthcare; Consulting/Employment Agency; Board of Directors.
- Are any elected officials expected to attend and, if so, what is their expected role?
- No, if any do attend, they are purely at the local level and have no role within the organization.
- Fundraising: component (*e.g.*, programs; dinner ticket price)

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- o Via dinner tickets or advance tickets to the event? Please describe.

No

- o Via program advertisement or similar advance solicitation? Please describe.

No

- o Will there be any solicitation of funds at the event? Please describe.

No

- Expected role of U.S. Attorney (e.g., simple attendance; remarks; speech)

- o If the U.S. Attorney is being honored, who else is expected to be honored?

No

- o If the U.S. Attorney is making a presentation, who else is expected to be making a presentation/be on a panel?

No one

- Any special seating arrangements for U.S. Attorney (e.g., dais, general seating—depending on the nature of the event, the U.S. Attorney may or may not be able to accept “dais” seating.)

The U.S. Attorney will be seated at the main table with the SVDX and FEISV leadership. Head Table Seating accommodates ten including US Attorney, President of FEISV, Chairman / President of SVDX and select other leadership of the organization. There is room for an agent or aide at the table as required, of course.

- Please describe what if any award/presentation the U.S. Attorney will receive

___ nothing

___ plaque or other memento of little intrinsic value

___Other. Please describe, including its value:

Gift bottle of wine \$60-\$100 value is customary for every speaker.

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Dec.10, 2013

- Promotion of the event: please provide a copy of or link to the invitation/promotional material for the event (or if not available, for the prior year's event).

We have provided a sample of last year's event since we have not yet received the full information to create and customize a high value product at this time. We will ensure the US Attorney's office approves all written documentation before release.

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USA-000202